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JC997 U.S. PTO  
09/883113

**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

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09/883113  
06/15/01

**BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Docket No.: DE9200000061US1

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Klaus Schroiff, Peter Gemsjaeger and Christian Bolik

For: CASCADING FAILOVER OF A DATA MANAGEMENT  
APPLICATION FOR SHARED DISK FILE SYSTEMS IN LOOSELY  
COUPLED NODE CLUSTERS

Enclosed are:

**XXX** Specification (17 pps.) consisting of: Description (13 pps); Claims (3 pps);  
Abstract (1 pp);

**XXX** 6 sheets of formal drawings;

       Declaration and Power of Attorney;

       Associate Power of Attorney;

       An assignment of the invention to: **Assignee Name: International  
Business Machines Corporation Assignee Residence: Armonk, New  
York** including a \$40.00 recordation fee and Assignment Recordation  
Form Cover Sheet;

       Information Disclosure Statement (with copies of patent);

       Form - PTO-1449;

       Verified Statement Claiming Small Entity Status; and

**XXX** Priority of German Patent Application Serial No. 00116453.2, filed on  
July 28, 2000 is claimed under 35 U.S.C. §119;

**XXX** Certified copy of Priority Application 00116453.2 filed on July 28, 2000 is  
enclosed;

**XXX** A Preliminary Amendment is enclosed;

09883113-064531

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	12 - 20 =	0	x \$18.00	\$0.00
Independent Claims	3 - 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$710.00</b>		

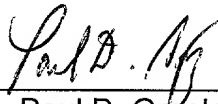
1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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**XXX** No fee enclosed - filing by missing parts.

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ for the filing fee ( ) and  
assignment recordal fee ( ) is enclosed.

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June 15, 2001  
Date of Signature

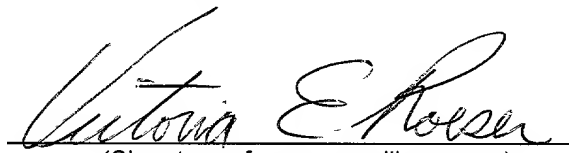


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**CERTIFICATE OF EXPRESS MAILING**

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Victoria E. Roeser  
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